

FORM NO. MGT- 9

EXTRACT OF ANNUAL RETURN AS ON FINANCIAL YEAR ENDED 31ST MARCH 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

1. REGISTRATION AND OTHER DETAILS

i.	CIN	L85110KA1992PLC013421
ii.	Registration Date	10 th August 1992
iii.	Name of the Company	ASM Technologies Limited
iv.	Category/Sub-Category of the Company	Public Limited Company
v.	Address of the Registered office and contact details	80/2 Lussane Court, Richmond Road, Bangalore-560025; Ph.No. 080-66962300/01
vi.	Whether Listed Company	Yes
vii.	Name, Address and Contact details of Registrar and Transfer Agent ,if any	Karvy Fintech Private Limited Karvy Selenium Tower B , Plot number 31 & 32, Financial District , Nanakramguda , Serilingampally Mandal , Hyderabad – 500032

2. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the company
i.	Computer Programming, Consultancy & Related Activities	620	100

3. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and the address of the company	CIN/ GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
i.	Advanced Synergic Pte Ltd	NA	Wholly owned Subsidiary	100	2(87)(ii)
ii.	Pinnacle Talent Inc	NA	Wholly owned Subsidiary	100	2(87)(ii)
iii.	ESR Associates Inc	NA	Step Down Subsidiary	100	2(87)(ii)
iv.	ASM Technologies KK	NA	Wholly owned Subsidiary	100	2(87)(ii)
v.	RV Forms and Gears LLP	AAM-6918	Subsidiary	100	2(87)(ii)

4. SHAREHOLDING PATTERN (Equity Share Capital Breakup As Percentage To Total Equity)
a. Category- wise shareholding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter									
<i>1) Indian</i>									
a) Individual/ HUF	2103677	-	2103677	42.07	2103677	-	2103677	42.07	-
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp	338502	-	338502	6.77	338502	-	338502	6.77	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other	649724	-	649724	12.99	649724	-	649724	12.99	-
Sub-total(A)(1):-	3091903	-	3091903	61.84	3091903	-	3091903	61.84	-
<i>2) Foreign</i>									
g) NRIs-Individuals	-	-	-	-	-	-	-	-	-
h) Other-Individuals	-	-	-	-	-	-	-	-	-
i) Bodies Corp.	-	-	-	-	-	-	-	-	-
j) Banks / FI	-	-	-	-	-	-	-	-	-
k) Any Other....	-	-	-	-	-	-	-	-	-
Sub-total(A)(2):-	-	-	-	-	-	-	-	-	-
B. Public Shareholding									
<i>1. Institutions</i>									
a) Mutual Funds	-	800	800	0.02	-	800	800	0.02	-
b) Banks / FI	-	1100	1100	0.02	-	1100	1100	0.02	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total(B)(1)	-	1900	1900	0.04	-	1900	1900	0.04	-
<i>2. Non Institutions</i>									
a) Bodies Corp. (i) Indian	74910	1300	76210	1.52	67212	1300	68512	1.37	-0.15

(ii) Overseas									
b) Individuals									
(i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	578803	283751	862554	17.25	644753	249676	894429	17.89	0.64
(ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	817265	0	817265	16.35	791634	0	791634	15.83	-0.51
c) Others(Specify)	118668	32300	150968	3.02	120122	32300	152422	3.04	0.02
Sub-total(B)(2)	1589646	317351	1906997	38.14	1623721	283276	1906997	38.14	0.00
Total Public Shareholding (B)=(B)(1)+ (B)(2)	1589646	318451	1908097	38.16	1623721	284376	1908097	38.16	0.00
C. Shares held by Custodian for GDRs &ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	4681549	318451	5000000	100.00	4715624	284376	5000000	100.00	

b. Shareholding of Promoters

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	
i.	S RABINDRA	2103677	42.07	-	210367	42.07	-	-
ii.	R PREETI	380524	7.61	-	380524	7.61	-	-
iii.	IDS SYSTEMS LLP	338502	6.77	-	338502	6.77	-	-
iv.	AKHIL R	134600	2.69	-	134600	2.69	-	-
v.	NIKHIL R	134600	2.69	-	134600	2.69	-	-
	Total	3091903	61.84	-	309190	61.84	-	-

c. *Change in promoters' Shareholding (Please specify if there is no change)*

Sl. No	Name of the shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
i.	At the beginning of the year	NIL			
ii.	Transmission				
iii.	At the End of the year				

d. *Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)*

Sl No.	Name of the Share Holder	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
		No of Shares	% of total shares of the company	No of Shares	% of total shares of the company
1	KANNAN SUNDAR				
	At the beginning of the year	581629	11.63	581629	11.63
	Sale During the year	882	0.02	580747	11.61
	Sale During the year	1034	0.02	579713	11.59
	Sale During the year	24300	0.49	555413	11.11
	Sale During the year	413	0.01	555000	11.10
	Sale During the year	2	0.00	554998	11.10
	At the end of the year(or on the date of separation, if separated during the year)		0.00	554998	11.10
2	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY M				
	At the beginning of the year	103333	2.07	103333	2.07
	Purchase during the year	7303	0.15	110636	2.21
	At the end of the year(or on the date of separation, if separated during the year)		0.00	110636	2.21
3	SHAILESH V HARIBHAKTI				
	At the beginning of the year	71500	1.43	71500	1.43
	At the end of the year(or on the date of separation, if separated during the year)		0.00	71500	1.43
4	ANANTHARAMAKRISHNA P				
	At the beginning of the year	50009	1.00	50009	1.00

	At the end of the year(or on the date of separation, if separated during the year)		0.00	50009	1.00
5	M ANANDAM CONSULTANCY SERVICES PRIVATE LTD				
	At the beginning of the year	41769	0.84	41769	0.84
	At the end of the year(or on the date of separation, if separated during the year)		0.00	41769	0.84
6	GARIMA SATHI VASHISTHA				
	At the beginning of the year	25322	0.51	25322	0.51
	At the end of the year(or on the date of separation, if separated during the year)		0.00	25322	0.51
7	VIVEK KAUSHAL				
	At the beginning of the year	24037	0.48	24037	0.48
	At the end of the year(or on the date of separation, if separated during the year)		0.00	24037	0.48
8	SUNDAR RAMANATHAN				
	At the beginning of the year	20000	0.40	20000	0.40
	At the end of the year(or on the date of separation, if separated during the year)		0.00	20000	0.40
9	SUMITHA VIBHU				
	At the beginning of the year	18000	0.36	18000	0.36
	Purchase during the year	2000	0.04	20000	0.40
	Purchase during the year	864	0.02	20864	0.42
	Purchase during the year	136	0.00	21000	0.42
	Sale During the year	2000	0.04	19000	0.38
	At the end of the year(or on the date of separation, if separated during the year)		0.00	19000	0.38
10	BHARAT K SHETH				
	At the beginning of the year	16600	0.33	16600	0.33
	At the end of the year(or on the date of separation, if separated during the year)		0.00	16600	0.33

e. Shareholding of Directors and Key Managerial Personnel

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
i.	Rabindra Srikantan, KMP	2103677	42.07	2103677	42.07
ii.	P N Lakshmi, KMP	NIL	NIL	NIL	NIL
iii.	N L Rathod, KMP	NIL	NIL	NIL	NIL

iv.	M R Vikram, Director	NIL	NIL	NIL	NIL
v.	Prof B S Sonde	NIL	NIL	NIL	NIL
vi.	M Lakshminarayan	NIL	NIL	NIL	NIL
vii.	Shekar Viswanathan	NIL	NIL	NIL	NIL
viii.	Preeti Rabindra	380524	7.61	380524	7.61

5. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/ accrued but not due for payment

In mn.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	78.37	-	-	78.37
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not	-	-	-	-
Total(i+ii+iii)	78.37	-	-	78.37
Change in Indebtedness during the financial year				
- Addition	11.88	-	-	11.88
- Reduction	-	-	-	-
Net Change	11.88	-	-	11.88
Indebtedness at the end of the financial year				
i) Principal Amount	90.25	-	-	90.25
ii) Interest due but notpaid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	90.25	-	-	90.25

6. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

a. Remuneration to Managing Director, Whole-time Directors and/or Manager

Rs.in Mn.

Sl. No.	Particulars of Remuneration	Rabindra Srikantan, Managing Director	Total Amount
i.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	8.4 - -	8.4 - -
ii.	Stock Option		
iii.	Sweat Equity	-	-
iv.	Commission - as % of profit - others: 20% of Salary	- 1.68	- 1.68
v.	Others, please specify	-	-
vi.	Total(A)	10.08	10.08
	Ceiling as per the Act	16.80	16.80

b. Remuneration to other directors:

Rs. in Mn.

Sl. No.	Particulars of Remuneration		Name of MD/WTD/ Manager		Total Amount
	<u>Independent Directors</u>	M R Vikram	B S Sonde	Shekar Viswanathan	
	- Fee for attending board committee meetings	0.12	0.07	0.08	0.27

	·Commission ·Others, please specify	0.17 -	0.17 -	0.17 -	0.51
	Total(1)	0.29	0.24	0.25	0.78
	<u>Other Non-Executive Directors</u>	Preeti Rabindra	M Lakshminarayan	-	-
	·Fee for attending board committee meetings	0.10	0.15		
	·Commission	0.17	0.17		
	·Others, please specify	-	-		
	Total(2)	0.27	0.32	-	0.59
	Total(B)=(1+2)	0.56	0.56	0.25	1.37
	Total Managerial Remuneration	0.56	0.56	0.25	
	Overall Ceiling as per the Act	NA	NA	NA	NA

c. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD

Rs.in Mn.

Sl. no.	Particulars of Remuneration	Key Managerial Personnel		
		Company Secretary	CFO	Total
i.	Gross salary (a)Salary as per provisions contained in section17(1)of the Income-tax Act,1961	0.60	0.25	0.85
	(b)Value of perquisitesu/s 17(2)Income-tax Act,1961	-	-	-
	(c)Profits in lieuof salary under section 17(3)Income-tax Act,1961	-	-	-
ii.	Stock Option	-	-	-
iii.	Sweat Equity	-	-	-
iv.	Commission - as %of profit -others, specify...	-	-	-
v.	Others, please specify	-	-	-
	Total	0.60	0.25	0.85

7. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the companies Act	Brief description	Details of Penalty/ Punishment/Compounding fees imposed	Authority[RD /NCLT/Court]	Appeal made. If any(give details)
A. Company					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
B. Directors					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
C. Other Officers In Default					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL